

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2002B130/2
In Re Application of: Baiyi Zhao et al.			
U.S. Serial No. 10/693,584	Filing Date 10/24/03	Examiner Unassigned	Group Art Unit 1755



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☐ This application is a:

- ☐ Continuation / Divisional,
- ☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are ☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

3-18-04
Date

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INFORMATION DISCLOSURE STATEMENT

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AF	EP 0 479 541 A1	04/08/92	Europe	C07F	15/00		
	AG	WO 00/02887 A2	01/20/00	PCT	C07F	9/02		
	AH	WO 01/38337 A2	05/31/01	PCT	C07H	-		

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AI	Derwent Abstract, CAS Registry Number 213697-53-1, "[1,1'-Biphenyl]-2-amine, 2'-(dicyclohexylphosphino)-N,N-dimethyl- (9CI)"
AJ	B. Cornils, et al., "Ed. Applied Homogeneous Catalysis with Organometallic Compounds, A Comprehensive Handbook", Vol. 1, VCH Verlagsgesellschaft mbH, Weinheim, 1996, pp. 245-258
AK	B. Elvers, et al., "Ed. Ullmann's Encyclopedia of Industrial Chemistry", Vol. A13, VCH Verlagsgesellschaft mbH, Weinheim, 1989, pp. 243-247 and 275-276
AL	Gandelman, Mark et al, "The First Observation and Kinetic Evaluation of a Single Step Metal Insertion into a C-C Bond", Journal of the American Chemical Society (2000), 122(40), pp. 9848-9849, XP002241620
AM	Kamigaito, Masami et al, "Transition metal-catalyzed living radical polymerization: latest advances", retrieved from STN Database accession No. 137:338170 CA, XP002241621 (American Chemical Society, Division of Polymer Chemistry) (2002), 43(2), 3-4, 2002 (Abstract)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant



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	AA						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AC							
	AD							

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AE	David W. Old et al., "A Highly Active Catalyst for Palladium-Catalyzed Cross-Coupling Reactions: Room-Temperature Suzuki Couplings and Amination of Unactivated Aryl Chlorides", J. Am. Chem. Soc., 1998, 120, pp. 9722-9723, (Dept. of Chemistry, Massachusetts Inst. Of Technology, Cambridge, MA 02139)
	AF	John P. Wolfe et al., "Highly Active Palladium Catalysts for Suzuki Coupling Reactions", J. Am. Chem. Soc. 1999, 121, pp. 9550-9561, (Contribution from the Dept. of Chemistry, Massachusetts Inst. Of Technology, Cambridge, MA 02139)
	AG	Joseph M. Fox et al., "Highly Active and Selective Catalysts for the Formation of α -Aryl Ketones", J. Am. Chem. Soc. 2000, 122, pp. 1360-1370 (Contribution from the Department of Chemistry, Massachusetts Inst. Of Technology, Cambridge, MA 02139)
	AH	Yorisue, Tomohiro, "Polymerization catalysts for manufacture of branched polyolefins with high molecular weight and narrow molecular weight distribution", retrieved from STN Database accession No. 127:359235 CA, XP002241622 (Abstract JP 09255713 - 09/30/97)
	AI	Pavel Kocovsky et al., "Palladium(II) Complexes of 2-Dimethylamino-2'-diphenylphosphino-1,1'-binaphthyl (MAP) with Unique Pd, Co Coordination and Their Catalytic Activity in Allylic Substitution, Hartwig-Buchwald Amination, and Suzuki Coupling", J. Am. Chem. Soc. 1999, 121, pp. 7714-7715 (Dept. of Chemistry, Uni. Of Leicester, Leicester, UK; Dept. of Organic Chemistry, Charles University, Prague 2, Czech Republic; School of Chemistry, Uni. Of Bristol, Bristol, UK; Dept. of Inorganic Environ. Chemistry, Chalmers Uni. Of Tech., Goteborg, Sweden)

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